

Appendix

Item	Provision Violations	Penalty Provision and Fine Range (NT\$)	Weighting for Types of Environmental Agents = A	Weighting for Number of Violations = N	Calculation Method for Fine Amount
1	Articles 6 and 7: Knowingly selling or transferring counterfeit or prohibited environmental agents, or displaying, storing, mixing, or separate packaging such agents with the intent to sell.	Article 46, Paragraph 2: NT\$90,000–NT\$450,000	1. 1 type: A = 1 2. 2 types: A = 2 3. 3 or more types: A = 5	1. 1st: N = 1 2. 2nd: N = 2 3. 3 or above: N = 5	$A \times N \times \text{NT\$}90,000$
2	Articles 6, Paragraph 1, Subparagraph 1; Article 7; Article 8, Subparagraph 3: Selling, transferring, or displaying or storing with the intent to sell counterfeit, prohibited, or inferior quality environmental agents.	Article 46, Paragraph 4: NT\$30,000–NT\$150,000	1. 1 type: A = 1 2. 2 types: A = 2 3. 3 or more types: A = 5	1. 1st: N = 0 2. 2nd: N = 1 3. 3rd: N = 3 4. 4th or above: N = 5	$A \times N \times \text{NT\$}30,000$
3	Article 8, Subparagraphs 1 and 2: Manufacturing, processing, or importing inferior quality environmental agents.	Article 46, Paragraph 3: NT\$60,000–NT\$300,000	1. 1 type: A = 1 2. 2 types: A = 2 3. 3 types: A = 3 4. 4 or more types: A = 5	1. 1st: N = 1 2. 2nd: N = 1.2 3. 3rd: N = 1.5 4. 4th or above: N = 5	$A \times N \times \text{NT\$}60,000$
4	Article 9, Paragraph 1: Manufacturing, processing, or importing counterfeit or prohibited environmental agents.	Article 46, Paragraph 1: NT\$300,000–NT\$1,500,000	1. 1 type: A = 1 2. 2 types: A = 2 3. 3 types: A = 3 4. 4 or more types: A = 5	1. 1st: N = 1 2. 2nd: N = 2 3. 3rd or above: N = 5	$A \times N \times \text{NT\$}300,000$
5	Article 9, Paragraph 5: Selling small quantities of environmental agents carried for personal use, by passengers or service personnel on transportation vehicles.	Article 49, Subparagraph 1: NT\$30,000–NT\$150,000	1. 1–3 types: A = 1 2. 4–6 types: A = 2 3. 7–10 types: A = 3 4. 11 or more types: A = 5	1. 1st: N = 1 2. 2nd: N = 2 3. 3rd or above: N = 5	$A \times N \times \text{NT\$}30,000$
6	Article 11: Environmental agent vendors or pest control operators engaging in business without obtaining the required license.	Article 50: NT\$30,000–NT\$150,000	—	1. 1st: N = 1 2. 2nd: N = 2 3. 3rd or above: N = 5	$N \times \text{NT\$}30,000$

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7	Article 12: Failing to comply with the approved matters relevant to environmental agent related licenses or permits.	Article 49: NT\$30,000– NT\$150,000	—	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$N \times \text{NT\$}30,000$
8	Article 13: Violating regulations governing factory environments, processing equipment and testing equipment.	Article 49: NT\$30,000– NT\$150,000	—	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$N \times \text{NT\$}30,000$
9	Article 14: Using technical grade environmental agents that have not been approved and registered for the manufacturing or processing of environmental agents.	Article 48: NT\$60,000– NT\$300,000	1. 1 type: A=1 2. 2 types: A=2 3. 3 types: A=3 4. 4 or more types: A=5	1. 1st: N=1 2. 2nd: N=2.5 3. 3rd: N=4 4. 4th or above: N=5	$A \times N \times \text{NT\$}60,000$
10	Article 15, Paragraph 1: Transferring technical grade environmental agents without approval.	Article 48: NT\$60,000– NT\$300,000	1. 1 type: A=1 2. 2 types: A=2 3. 3 types: A=3 4. 4 or more types: A=5	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$A \times N \times \text{NT\$}60,000$
11	Article 16, Paragraph 2: The domestic selling of environmental agents intended exclusively for export, or using such agents for other purposes.	Article 47: NT\$90,000– NT\$450,000	1. 1 type: A=1 2. 2 types: A=2 3. 3 types: A=3 4. 4 or more types: A=5	1. 1st: N=1 2. 2nd: N=2.5 3. 3rd: N=4 4. 4th or above: N=5	$A \times N \times \text{NT\$}90,000$
12	Article 17, Paragraph 1: Commissioning the manufacturing of environmental agents without approval, or manufacturing environmental agents under commission without approval.	Article 48: NT\$60,000– NT\$300,000	1. 1 type: A=1 2. 2 types: A=2 3. 3 types: A=3 4. 4 or more types: A=5	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$A \times N \times \text{NT\$}60,000$

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13	Article 18, Paragraph 1: Mixing or re-packaging environmental agents without approval.	Article 48: NT\$60,000– NT\$300,000	1.1 type: A=1 2.2 types: A=2 3.3 types: A=3 4.4 or more types: A=5	1.1st: N=1 2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$60,000}$
14	Article 19, Paragraph 1: Failure of environmental agent manufacturing enterprises, environmental agent vendors or pest control operators to establish professional technical personnel positions.	Article 48: NT\$60,000– NT\$300,000	—	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$N \times \text{NT\$60,000}$
15	Article 19, Paragraph 2: Violating management regulations regarding the establishment of professional technical positions, the number of personnel, employment, workplace training, reporting of vacancies, or commissioned filling of vacancies of professional technical personnel.	Article 49: NT\$30,000– NT\$150,000	—	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$N \times \text{NT\$30,000}$
16	Article 19, Paragraph 2: Violating regulations regarding retraining, work responsibilities, or reporting of resignations of professional technical personnel	Article 51: NT\$4,000– NT\$20,000	—	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$N \times \text{NT\$4,000}$
17	Article 20: Selling or storing unmarked environmental agents or selling environmental agents after opening the original packaging.	Article 48: NT\$60,000– NT\$300,000	1.1–3 types: A=1 2.4–6 types: A=2 3.7–10 types: A=3 4.11 or more types: A=5	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$60,000}$
18	Article 21, Paragraphs 1 and 2: Selling or using restricted use environmental	Article 49: NT\$30,000–	1.1–3 types: A=1 2.4–10 types: A=2	1.1st: N=1 2.2nd: N=2.5	$A \times N \times \text{NT\$30,000}$

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	agents for persons or purposes not in compliance with regulations.	NT\$150,000	3.11 or more types: A=5	3.3rd: N=4 4.4th or above: N=5	
19	Article 22: Pest control operators violating management regulations regarding training of application personnel, environmental agent use, safety equipment, notification of customer of application plans, reporting of application records, and preservation of records.	Article 49: NT\$30,000– NT\$150,000	—	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$N \times \text{NT\$}30,000$
20	Article 23, Paragraph 2: Selling or using environmental agents for purposes other than experimental research, educational demonstrations, special control projects, or permit application.	Article 47: NT\$90,000– NT\$450,000	1.1 type: A=1 2.2 types: A=2 3.3 types: A=3 4.4 or more types: A=5	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$}90,000$
21	Article 24, Paragraphs 1 and 2: Environmental agent manufacturing enterprises, environmental agent vendors, and pest control operators failing to record monthly and preserve records for three years or failing to submit records in accordance with regulations prescribed by the competent authority.	Article 48: NT\$60,000– NT\$300,000	1.1–5 types: A=1 2.6–10 types: A=2 3.11 or more types: A=5	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$}60,000$
22	Article 26: Violating provisions of the Regulations Governing the Storage, Placement, and Use of Environmental Agents.	Article 48: NT\$60,000– NT\$300,000	1.1–3 types: A=1 2.4–10 types: A=2 3.11 or more types: A=5	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$}60,000$
23	Article 27, Paragraph 1: Using or	Article 49:	1.1 type: A=1	1.1st: N=1	$A \times N \times \text{NT\$}30,000$

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	changing labels without approval.	NT\$30,000–NT\$150,000	2.2 types: A=2 3.3 types: A=3 4.4 or more types: A=5	2.2nd: N=2.5 3.3rd: N=4 4.4th or above: N=5	
24	Article 29: Violating provisions of the Regulations Governing the Operation and Management of Environmental Agent Microbial Preparations Used in Ecological and Water Source Conservation or Protection Areas.	Article 48: NT\$60,000–NT\$300,000	1.1–3 types: A=1 2.4–10 types: A=2 3.11 or more types: A=5	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$60,000}$
25	Article 30: Violating provisions of the Regulations Governing the Development, Testing, and Research of Genetically Engineered Environmental Agent Microbial Preparations.	Article 48: NT\$60,000–NT\$300,000	1.1 type: A=1 2.2 types: A=2 3.3 types: A=3 4.4 or more types: A=5	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$60,000}$
26	Article 31, Paragraphs 1 and 2: Failing to promptly adopt emergency control measures upon polluting the environment, or harming the ecology or human health caused by environmental agent microbial preparations, failing to report such events within two hours, or failing to promptly prohibit handling activities connected with said events in accordance with regulations prescribed by the special municipality, county or city competent authority.	Article 48: NT\$60,000–NT\$300,000	—	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$N \times \text{NT\$60,000}$
27	Article 32: Those enterprises that do not hold an environmental agent permit or environmental agent vendor or pest control operator license but advertise	Article 48: NT\$60,000–NT\$300,000	1.1–3 types: A=1 2.4–6 types: A=2 3.7 or more types: A=5	1.1st: N=1 2.2nd: N=2 3.3rd or above: N=5	$A \times N \times \text{NT\$60,000}$

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	environmental agents.				
28	Article 33, Paragraph 1: Environmental agent manufacturing enterprises, environmental agent vendors, and pest control operators overstepping the content of their registration, and publishing or broadcasting false, exaggerated, or illicit advertising.	Article 48: NT\$60,000– NT\$300,000	1. 1–5 types: A=1 2. 6–10 types: A=2 3. 11 or more types: A=5	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$A \times N \times \text{NT\$}60,000$
29	Article 33, Paragraph 2: Environmental agent manufacturing enterprises, environmental agent vendors, and pest control operators violating provisions of the Regulations Governing the Advertising of Environmental Agents.	Article 49: NT\$30,000– NT\$150,000	1. 1–5 types: A=1 2. 6–10 types: A=2 3. 11 or more types: A=5	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$A \times N \times \text{NT\$}30,000$
30	Article 34: Refusing, evading, or obstructing inspections or interdictions.	Article 49: NT\$30,000– NT\$150,000	—	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$N \times \text{NT\$}30,000$
31	Articles 37, 38, and 39: Failing to recall non-compliant environmental agents from the market within the prescribed period, compile a list of recalled goods, and submit the list to the competent authority for reference.	Article 48: NT\$60,000– NT\$300,000	1. 1–3 types: A=1 2. 4–10 types: A=2 3. 11 or more types: A=5	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$A \times N \times \text{NT\$}60,000$
32	Article 40, Paragraph 1: Violating provisions of the Regulations Governing the Handling of Environmental Agents after operations termination, suspension, or permit or license revocation or cancellation.	Article 49: NT\$30,000– NT\$150,000	1. 1 type: A=1 2. 2 types: A=2 3. 3 types: A=3 4. 4 or more types: A=5	1. 1st: N=1 2. 2nd: N=2 3. 3rd or above: N=5	$A \times N \times \text{NT\$}30,000$

- Note 1: The number of types of non-compliant environmental agents identified shall be calculated based on different environmental agent products or different permit numbers.
- Note 2: The number of violations shall be calculated based on the number of violations of the same provision within one year prior to the date on which the violation is identified.
- Note 3: For the first violation under Item 2, the violator shall be ordered to make improvements within a specified time limit pursuant to Article 46 of the Act.